



COPY OF PAPER
ORIGINALLY FILED

Attorney Docket No.: JJL14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Jung et al.

Serial No.: 10/081,879

Filed: February 21, 2002

For: Miniaturized System and Method for
Measuring Optical Characteristics

Examiner: Unknown

Group Art Unit: 2673

Commissioner of Patents and Trademarks
Washington, D.C. 20231

ATTENTION: Official Draftsperson

TRANSMITTAL OF FORMAL DRAWINGS

Applicant hereby submits FIFTEEN (15) sheets of Formal drawings for filing in the above-identified application. The Response to Missing Parts, including Declaration and Fee Transmittal is being submitted concurrently herewith. Also enclosed herewith is a Change of Address form.

Respectfully submitted,

Alan R. Loudermilk
Attorney for Applicant(s)
Registration No.: 32,788

Date: June 10, 2002
Loudermilk & Associates
P. O. Box 3607
Los Altos, CA 94024-0607
(408) 342-1866

2002 JUN 18 10 08 AM '02



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TID #3 MP
Attorney Docket No.: JLL14

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Serial No.: 10/081,879

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For: Miniaturized System and Method for
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) I hereby certify that this correspondence is being deposited with
) the United States Postal Service as first class mail in an envelope
) addressed to: Assistant Commissioner for Patents, Box Missing
) Parts, Washington, D.C. 20231, on June 10, 2002

Karen A. Rogers

(Print or type name of person signing the certificate)

Karen A. Rogers

(Signature of person signing the certificate)

June 10, 2002

(Date of Signature)

Assistant Commissioner for Patents
BOX: Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed April 10, 2002 (copy attached), Applicant submits herewith the Declaration and Power of Attorney, Fee Transmittal, and Formal Drawings in the above-identified application.

Please charge the surcharge of \$65.00 for filing this document to Deposit Account No. 50-0251. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0251. An additional copy of this transmittal is enclosed.

Respectfully submitted,

Alan R. Loudermilk

Alan R. Loudermilk

Registration No. 32,788

Attorney for Applicant(s)

Date: June 10, 2002
10950 North Blaney Ave., Suite B
Cupertino, CA 95014
408-342-1866



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Page 1 of 2

#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,879	02/21/2002	Wayne D. Jung	JJL14

CONFIRMATION NO. 9920

FORMALITIES LETTER



OC000000007839013

Loudermilk & Associates
10950 N. Blaney Avenue, Suite B
Cupertino, CA 95014

Date Mailed: 04/10/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The signature of the following inventor(s) is missing from the oath or declaration:
Walter W. Sloan
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

06/24/2002 MAHMEDI 00000083 500251 10091879

01 FC:201 5.00 CH
02 FC:205 65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Tedias

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 435.00

Complete if Known

Application Number	10/081/879
Filing Date	02/21/2002
First Named Inventor	Jung
Examiner Name	
Group Art Unit	
Attorney Docket No.	JJL14

COPY OF PAPER
ORIGINALLY FILED**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

50-0251

Deposit
Account
Name

Loudermilk & Associates

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
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101 740 201 370 Utility filing fee

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

Fee Paid

370.00

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
1	-20** = 0	X	
1	-3** = 0	X	
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)
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Fee Description

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 ** Reissue independent claims
over original patent110 18 210 9 ** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	65.00
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for <i>ex parte</i> reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 400 216 200		Extension for reply within second month	
117 920 217 460		Extension for reply within third month	
118 1,440 218 720		Extension for reply within fourth month	
128 1,960 228 980		Extension for reply within fifth month	
119 320 219 160		Notice of Appeal	
120 320 220 160		Filing a brief in support of an appeal	
121 280 221 140		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,280 241 640		Petition to revive - unintentional	
142 1,280 242 640		Utility issue fee (or reissue)	
143 460 243 230		Design issue fee	
144 620 244 310		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Processing fee under 37 CFR 1.17(q)	
126 180 126 180		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 740 246 370		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740 249 370		For each additional invention to be examined (37 CFR § 1.129(b))	
179 740 279 370		Request for Continued Examination (RCE)	
169 900 169 900		Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 65.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Alan R. Loudermilk

Registration No.
(Attorney/Agent)

32,788

Telephone

408-342-1866

Signature

Alan R. Loudermilk

Date

6/10/2002

**WARNING: Information on this form may become public. Credit card information should not
be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.